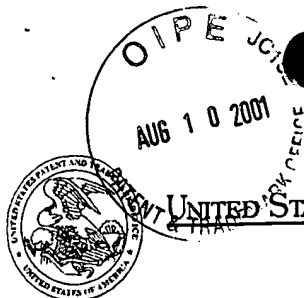


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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/778,843	02/08/2001	James L. Richards	1659.0980002

CONFIRMATION NO. 6162

26111
STERNE, KESSLER, GOLDSTEIN & FOX PLLC
1100 NEW YORK AVENUE, N.W., SUITE 600
WASHINGTON, DC 20005-3934

FORMALITIES LETTER



OC000000006107538

Date Mailed: 05/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/23/2001 STERNE 00000043 09778043

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1646.
 - \$846 for 47 total claims over 20.
 - \$800 for 10 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2486.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

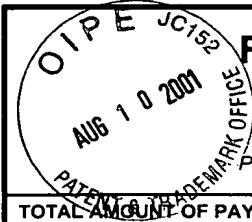
FF

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09778843-072301
TOE270" E4837260



FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1,298.00

Complete If Known

Application Number	09/778,843
Filing Date	February 8, 2001
First Named Inventor	James L. RICHARDS
Examiner Name	To Be Assigned
Group Art Unit	2681
Attorney Docket No.	1659.0980002/JRK/AJF

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number 19-0036
Deposit Account Name Sterne, Kessler, Goldstein & Fox P.L.L.C.

- ☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- ☒ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☒ Other*

*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1) (\$)					355.00

2. EXTRA CLAIM FEES

	Extra	Fee from below	Fee Paid
Total Claims 67 - 20** =	47	X 9.00	= 423.00
Indep. Claims 13 - 3** =	10	X 40.00	= 400.00
Multiple Dependent			=

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
108	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)				823.00

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large	Entity	Small	Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid
				105	130	205	65	Surcharge - late filing fee or oath	65.00
				127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
				139	130	139	130	Non-English specification	
				147	2,520	147	2,520	For filing a request for ex parte reexamination	
				112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
				113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
				115	110	215	55	Extension for reply within first month	55.00
				116	390	216	195	Extension for reply within second month	
				117	890	217	445	Extension for reply within third month	
				118	1,390	218	695	Extension for reply within fourth month	
				128	1,890	228	945	Extension for reply within fifth month	
				119	310	219	155	Notice of Appeal	
				120	310	220	155	Filing a brief in support of an appeal	
				121	270	221	135	Request for oral hearing	
				138	1,510	138	1,510	Petition to institute a public use proceeding	
				140	110	240	55	Petition to revive - unavoidable	
				141	1,240	241	620	Petition to revive - unintentional	
				142	1,240	242	620	Utility issue fee (or reissue)	
				143	440	243	220	Design issue fee	
				144	600	244	300	Plant issue fee	
				122	130	122	130	Petitions to the Commissioner	
				123	130	123	130	Petitions related to provisional applications	
				126	180	126	180	Submission of Information Disclosure Stmt	
				581	40	481	40	Recording each patent assignment per property (times number of properties)	
				146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
				149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
				179	710	279	355	Request for Continued Examination (RCE)	
				169	900	169	900	Request for expedited examination of a design application	
Other fee (specify):									
Other fee (specify):									
*Reduced by Basic Filing Fee Paid									
SUBTOTAL (3) (\$)									120.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Albert J. Fasulo, II	Registration No. (Attorney/Agent)	43,607	Telephone	202-371-2600
Signature	Albert J. Fasulo II	Date	8/10/01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SKGF Rev. 11/13/00 mnac

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